

**DISCLOSURE STATEMENT FOR UNIVERSITY FACULTY AND STAFF
UPON APPLICATION FOR A
SPONSORED PROGRAM GRANT OR CONTRACT
STATE UNIVERSITY OF NEW YORK**

Faculty and professional staff, including librarians, regardless of income level, who apply for grant support as principal or co-principal investigator or project or co-project director.

Pursuant to State Ethics Commissions Advisory Opinion No. 92-15, this form satisfies the filing requirements of Public Officers Law §73-a for academic employees who apply for sponsored program grants and contracts. Information on this form is available for public inspection pursuant to the rules of the State Ethics Commission. The Statements are not available for photocopying; handwritten notes may be taken.

Under SUNY Policy this form also satisfies filing requirements of 42 CFR Part 50 and NSF Grant Policy Manual (GPM) Section 510.

Name	
Title of Position	
Campus	Department

USE ADDITIONAL SHEETS IF NECESSARY

1. List any office, trusteeship, directorship, partnership, position or consultancy of any type, whether

or not compensated, held by you or your spouse since September 1, 2002, with any firm, corporation, association, partnership or other organization other than the State University or State of New York. DO NOT LIST THE AMOUNT.

Employees whose obligation to file is based exclusively on their application for or continuation of NSF or PHS funding, report only those affiliations:

- that would reasonably appear to affect or be affected by the research or educational activities funded or proposed for funding by the NSF of PHS and
- which produce annual compensation greater than \$10,000
- affiliations of dependent children must also be reported under NSF and PHS requirements.

Self/Spouse/

<u>Dependent Children</u>	<u>Name of Organization and address</u>	<u>Position</u>	<u>Description</u>
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2..

List name and describe the nature and source of any current employment or occupation of spouse.

Employees whose obligation to file is based exclusively on their application for or continuation of funding, report only employment:

- that would reasonably appear to affect or be affected by the research or educational activities funded or proposed for funding and
- which produces annual compensation greater than \$10,000
- employment of dependent children must also be reported under requirements

Spouse/

<u>Dependent Children</u>	<u>Source</u>	<u>Nature</u>
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3. List the name of warrants or stocks, and other investments interests including any interests in limited or general partnerships owned by you or your spouse or both at time of filing for research grant.

DO NOT LIST AMOUNTS.

Employees whose obligation to file is based exclusively on their application for or continuation of funding, report only those interests:

- that would reasonably appear to affect or be affected by the research or educational activities funded or proposed for funding and
- which are valued at greater than \$10,000
- interests of dependent children must also be reported under the requirements.

Self/Spouse/
Dependent Children

Issuing Entity

4. If you are presently working with externally-funded program grant or contract funding or are applying for such funding, list the source, amount and a description of the nature of the sponsored work.

I declare that the above information is true and correct.

Signature

Date