Presentation of Key Indicators to the Committee on Institutional Research and Planning

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December 17, 2010
Key Indicators: “The Vital Signs”

1) Financial Plan Core Receipts
2) Financial Plan Core Disbursements
3) IFR Activity and Cash/Fund Balances
4) Payroll Expense and FTE Count
5) Utility Costs and Consumption
6) Information Technology Needs
7) Public Safety and Physical Environment
8) Overall Budget Condition
9) Grants, Contracts, and Indirect Costs
10) Capital Projects
11) Multi-year Trend Analysis
12) Compliance
Financial Plan Core Receipts

- Monthly Report from Office of SUNY Controller
- Cash Receipts Analysis
- Includes Projected and Actual Receipts
- Is Cumulative
- Includes Tuition, Fees, Clinic Pledge and Other and Total
Financial Plan Core Disbursements

- Monthly Report from Office of SUNY Controller
- Cash Disbursements Analysis
- Includes Projected and Actual Disbursements
- Is Cumulative
- By Major Object, i.e., PSR, TS and OTPS
IFR Activity and Cash/Fund Balances

- Monthly Report from Office of SUNY Controller
- IFR Cash Flow Including Overhead Recovery
- Includes SUTRA Cash Flow
- Cash Balance by Fund – Stabilization, SUTRA, General IFR
- IFR Account detail
Payroll Expense and FTE Count

- Report from Office of SUNY Controller
- PSR and TS Expenditures by Cost Center
- Shows Expenditures and Encumbrances
- Campus-generated Reports by Pay Period
  - Summary of Filled Positions by Fund and Function
  - Payroll Detail by Account
  - Report of Current Activity by Line
Utility Costs and Consumption

• Combined Steam and Electric Graphs
• Electric: Costs by Month, Consumption by Month, Total by Year
• Steam: Costs by Month, Consumption by Month, Total by Year
• Difficult to Control in Dynamic Environment
  – Cost versus Consumption
  – Required in All Capital Projects
Information Technology Needs

• Five-year Projections
• Input Sought - IT Council, UEC Administration, Surveys
• Regular Outlays to Prevent Expense “Bulges”
• 2010-11 priorities include:
  – Remote access capability;
  – Insure high availability of existing IT resources;
  – Offer additional technology tools for teaching and learning;
  – Maintain and support current technologies;
  – Extraordinary items such as remote access, new content management system, faculty information system, and expansion of the Storage Area Network (SAN or the H: drive).
Information Technology Budget

• Related to Strategic Plan Goals:
  – 1.1 To provide innovative, exciting, and attractive programs that meet the evolving needs of our students and the public;
  – 1.3 To provide an environment that develops critical thinking and life-long learning;
  – 1.4 To develop future leaders in Optometry
  – 1.7 To become a leader in continuing optometric education;
  – 1.9 To attract and retain highly qualified, full-time faculty members;
  – 4.1 To increase SUNY Optometry’s international presence.
Public Safety and Physical Environment

• Top Priorities are Health and Safety, Building Preservation and Energy
• Emphasis on Observation and Reporting
• Regular Preventive Maintenance
• Comfort and Appearance Also Important
• Close coordination with Capital Projects
Overall Budget Condition

• Comparison of Projected Need to Allocated Financial Plan – the Campus Core Budget
• Need Based on PSR, TS, and OTPS Spending
  – Does Not Include Research, Capital or Related-Entity Funds
• Identifies/Tracks the Core Budget “Gap” to be Covered by Use of Reserves, Savings, etc.
• Budget Status by VP
Grants, Contracts, and Indirect Costs

• Research Foundation Central Office Data Base
  – Sponsored Program Activity Report (SPAR)
  – AR, At Risk, Suspense, IFR (Salary Offset)
• Quarterly Campus Report
  – New Awards
  – Sponsored Activity Spreadsheet and Graph
  – Sponsored Program Status Report by P.I.
  – Salary Offset Report
  – New, Submitted, and Unfunded Applications
Capital Projects

• 2013 – 2018 – 2023 Capital Plan(s)
  – Facility Master Plan Underway

• 2008 – 2013 Capital Plan
  – $36.3 Million Allocated
  – Major Work Undertaken with Elevators, Fire Alarm System, Building Management System, MEP Upgrades, and Floors 1, 2, 3, and 3M
  – Smaller Space Alterations on Clinic and Research Floors
Multi-Year Trend Analysis

• IFR Account Balances
• Changes in Select IFR Cash Balances
• Utility Costs and Consumption
• Research – Direct and Indirect Expenditures
• Sources and Uses of Funds
  – Annual Report
  – IPEDS Data
Compliance

- Federal, State, Local, SUNY, Other
- Especially in Heath Care, Information Security, and Financial Matters
- The Cost of “Unfunded Mandates”
- Key Indicators Must Adapt to Change